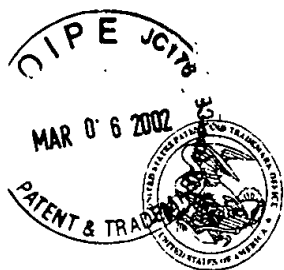


#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/054,730	01/22/2002	Takashi Nozawa	03310.025001

CONFIRMATION NO. 3529

## FORMALITIES LETTER



\*OC000000007472858\*

Rosenthal & Osha L.L.P.  
One Houston Center  
1221 McKinney Avenue, Suite 2800  
Houston, TX 77002

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 02/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/12/2002 BABRAHA1 00000099 10054730

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	18.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.  
    ▪ \$18 for 1 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 888.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SN

**Response Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

\$ Sector #3  
Docket No.

03310.025001

In Re Application Of: Takashi NOZAWA, et al.

COPY OF PAPERS  
ORIGINALLY FILED

MAR 06 2002

Serial No.  
10/054,730

Filing Date  
01/22/2002

Examiner

Group Art Unit  
2861

Invention: **HEAT-RESISTANT FILM AND THERMAL TRANSFER RECORDING MEDIUM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
February 14, 2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**03310.025001**

In Re Application Of: **Takashi NOZAWA, et al.**

Serial No.  
10/054,730

**Filing Date**  
**01/22/2002**

Examiner

Group Art Unit  
2861

**Invention: HEAT-RESISTANT FILM AND THERMAL TRANSFER RECORDING MEDIUM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

### Box Missing Parts

- ☒ Completion of application fees as calculated below:

- |   |          |
|---|----------|
| <input checked="" type="checkbox"/> Utility application filing fee  | \$740.00 |
| <input type="checkbox"/> Design application filing fee  |          |
| <input checked="" type="checkbox"/> Total number of independent claims = 2  |          |
| <input checked="" type="checkbox"/> Total number of claims = 21   | \$18.00  |
| <input type="checkbox"/> Multiple dependent claims  |          |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | \$130.00 |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               |          |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      |          |
| <input type="checkbox"/> Fee for processing and retention of application  |          |

**Total completion of application fees: \$888.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees

**Total fees due                      \$888.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
03310.025001

In Re Application Of: **Takashi NOZAWA, et al.**

Serial No.  
10/054,730

Filing Date  
01/22/2002

Examiner

Group Art Unit  
2861

Invention: **HEAT-RESISTANT FILM AND THERMAL TRANSFER RECORDING MEDIUM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$888.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0591**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated: **February 27, 2002**

**Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney, Suite 2800  
Houston, Texas 77010  
Telephone: (713) 228-8600  
Facsimile: (713) 228-8778**

I certify that this document and fee is being deposited on **February 27, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
*Signature of Person Mailing Correspondence*

**Brenda C. McFadden**

*Typed or Printed Name of Person Mailing Correspondence*

CC: